

Patent/

Case No.: 58709US004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

Commissioner for Patents

Alexandria, VA 22313-1450

LEWANDOWSKI, KEVIN M.

Application No.:

10/807007

Group Art Unit:

1713

Filed:

March 23, 2004

Examiner:

Zalukaeva, Tatyana

Title:

RING-OPENED AZLACTONE CHAIN TRANSFER AGENTS FOR RADICAL

**POLYMERIZATION** 

Date

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

AUG 0 3 2004

\_\_\_\_

Signed by: Hylis H. Froelke

Dear Sir:

P.O. Box 1450

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. Copies of any cited foreign patents, non-patent literature, and unpublished US application documents are enclosed. Pursuant to the waiver in the Pre-OG Notice, dated July 11, 2003, copies of US patents and published US patent applications are no longer required and are not enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311. Please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p) to Deposit Account No. 13-3723, and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

Respectfully submitted.

Date

Kent S. Kokko, Reg. No.: 33,931

Telephone No.: (651) 733-3597

08/06/2004 AADOFO1 00000059 133723 10807007

Office of Intellectual Property Counsel 3M Innovative Properties Company Facsimile No.: 651-736-3833

01 FC:1806 180.00 DA

Substitute for form 1449A/PTO (modified)

## **INFORMATION DISCLOSURE**

STATEMENT BY APPLICANT (Users many sheets as necessary)

Page 1 of 1

Application Number	10/807007			
Filing Date	March 23, 2004			
First Named Inventor	Lewandowski, Kevin M.			
Art Unit	1713			
Examiner Name	Zalukaeva, Tatyana			
Attorney Case Number	58709US004			

U.S. Patent Documents							
Exam.	Cite	Document Number	Publication Date or Issue Date	Name of Patentee	Pages, Columns, Lines, Where Relevant Passages or Relevant		
Init.*	No.	Doc. Number-(Kind Code if Known)	MM-DD-YYYY	or Applicant of Cited Document	Figures Appear		
	A1	US-					
	A2	US-					
	А3	US-					
	A4	US-					
	A5	US-					
	A6	US-					
	A7	US-					
	A8	US-					
	A9	US-					
	A10	US-					
	A11	US-					

	Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	Translation	
		Ctry. Code	Number-KindCode (If known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	(Check if yes)	
	B1	wo	96/30421 A1	10/03/1996				
	B2	wo	02/24761 A1	03/28/2002				
	В3							
	B4							
	B5						·	
	В6							
	B7							

OTHER DOCUMENTS					
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)		
	C1				
	C2				
	СЗ				

•	_						
*	Ex	2	m	ı	n	Δ	r

**Date Considered:** 

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.